

11/13/01
1133 U.S. PTO

10054284-111301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D. C. 20231

10/054284
11/13/01
JC978 U.S. PTO

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Markus ANDREASSON and Per ASTRAND for
Inventor(s)

METHOD AND DEVICE FOR RECORDING OF INFORMATION
Title of Invention

APPLICATION ELEMENTS ENCLOSED:

- 1. X Specification (total pages 30) including:
 - a. 3 pages of claims (23 claims)
 - b. 1 page(s) Abstract
- 2. X 5 sheets of X informal formal drawings (Figs. 1-11c)
- 3. X Oath or declaration of Applicant(s) (3 total pages)
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
- 4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- 5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- 6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

- 7. An assignment of the invention to (cover sheet & document(s))
- 8. 37 CFR 3.73(b) Statement (when there is an assignee)
- 9. X Power of Attorney
 - a. Newly executed (original or copy)
 - b. Copy from a prior application

10. ___ Information Disclosure Statement (IDS)/PTO-1449
___ Copies of IDS Citations
11. X A Preliminary Amendment
12. X Return Receipt Postcard (MPEP 503)
13. ___ Applicant is entitled to assert small entity status pursuant to 37 C.F.R. §1.9 and §1.27.
14. ___ If a Continuing Application, *check the appropriate box and supply the requisite information:*
- ☐ Continuation of prior application No.: _____ filed _____
- ☐ Divisional of prior application No.: _____ filed _____
- ☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____
15. X Amend the specification by inserting before the first line of page one: --This is a ___ continuation
___ divisional of application Serial No. _____ filed ____--
16. X Priority of application No(s). 00041442 filed in Sweden on November 13, 2000; No. 60/257,837 filed
in U.S. on December 21, 2000; Applicant hereby claims priority under 35 U.S.C. § 119.
___ A certified copy of priority application No. _____ is enclosed
___ A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____
17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	Number Filed		Number Extra*		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	23 - 20	=	3	x	\$ 9	\$ 18	=	\$0	\$54
Indep. Claims	2 - 3	=	0	x	\$ 42	\$ 84	=	\$0	\$0
Multiple Dependent Claims Presented: Yes <u>X</u> No ___					\$140	\$280	=	\$0	\$280
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 370	\$740
					Total Fee			\$	\$1074

18. X A check in the amount of \$1074.00 to cover the filing fee.
19. ___ Please charge Deposit Account No. 03-3125 in the amount of \$___ for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
X Patent application processing fees under 37 C.F.R. §1.17.
___ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. ___ Other (identify) _____


CORRESPONDENCE ADDRESS:

NORMAN H. ZIVIN
Cooper & Dunham LLP
1185 Avenue of the Americas
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Respectfully submitted,

Dated: November 13, 2001

By:


Norman H. Zivin
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THE UNIVERSITY OF CHICAGO

01-24-02

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11/13/01
J1133 U.S. PTO

Dkt. 63910

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Markus ANDREASSON and Per ASTRAND
Serial No. : Not yet known
Filed : Herewith
For : METHOD AND DEVICE FOR RECORDING OF
INFORMATION

Assistant Commissioner for Patents
BOX PROVISIONAL PATENT APPLICATION
Washington, D.C. 20231

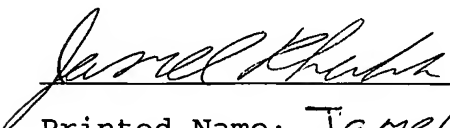
Sir:

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL054 466 833US

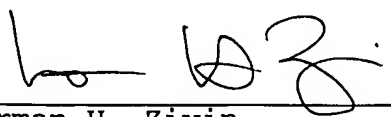
Date of Deposit: November 13, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231


Printed Name: Jameel Khabb

Respectfully submitted,

Date: November 13, 2001


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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1074.00**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Markus ANDREASSON
Examiner Name	
Group Art Unit	
Attorney Docket No.	0460/66217/NHZ

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	03-3125
Deposit Account Name	Cooper & Dunham LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 740.00**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
23	-20** = 3	18.00	54.00
2	-3** = 0	84.00	0.00
Multiple Dependent		280.00	280.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 334.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) _____**SUBMITTED BY**

Name (Print/Type) Norman H. Zivin

Registration No. 25,385
(Attorney/Agent)**Complete (if applicable)**

Telephone 212-278-0400

Signature

Date 11/13/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.